

# Disclaimer

The following report(s) provides findings from an FDA-initiated query using Sentinel. While Sentinel queries may be undertaken to assess potential medical product safety risks, they may also be initiated for various other reasons. Some examples include determining a rate or count of an identified health outcome of interest, examining medical product use, exploring the feasibility of future, more detailed analyses within Sentinel, and seeking to better understand Sentinel capabilities.

Data obtained through Sentinel are intended to complement other types of evidence such as preclinical studies, clinical trials, postmarket studies, and adverse event reports, all of which are used by FDA to inform regulatory decisions regarding medical product safety. The information contained in this report is provided as part of FDA's commitment to place knowledge acquired from Sentinel in the public domain as soon as possible. Any public health actions taken by FDA regarding products involved in Sentinel queries will continue to be communicated through existing channels.

FDA wants to emphasize that the fact that FDA has initiated a query involving a medical product and is reporting findings related to that query does not mean that FDA is suggesting health care practitioners should change their prescribing practices for the medical product or that patients taking the medical product should stop using it. Patients who have questions about the use of an identified medical product should contact their health care practitioners.

The following report contains a description of the request, request specifications, and results from the modular program run(s).

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#### Overview for cber\_mpl1r\_wp003\_nsdp\_v01, Report 1 of 2

Request ID: cber\_mpl1r\_wp003\_nsdp\_v01. This is Report 1 of 2.

<u>Report Description</u>: This report contains the estimated number of females of child-bearing age, the number of live-births, and the number of live births among women with blood transfusions in the Sentinel Distributed Database (SDD). This report also contains the number of blood transfusions among males and females for all ages.

**Data Source:** Data from January 1, 2008 to November 30, 2015 from 15 health plans contributing to the Sentinel Distributed Database (SDD) were included in this report. See Appendix A for a list of the latest dates of available data for each Data Partner.

<u>Study Design</u>: This request was designed to calculate background rates. The rates of qualifying live births in the SDD were calculated overall and were stratified by year.

<u>Cohort of Interest</u>: Females of child-bearing age (10-54 years) with live births, overall and among those with blood transfusions. Males and females of all age groups who have blood transfusions were also identified.

<u>Cohort Eligibility Criteria</u>: Individuals included in the cohort were required to be continuously enrolled in plans with both medical and drug coverage for at least 293 days before their live birth, during which gaps in coverage of up to 45 days were allowed. Live births were defined using ICD- 9 codes. Please see Appendix B. Blood transfusions were defined using National Drug Codes (NDCs) and procedure codes. Please see Appendix C. The program considered all valid live births between January 1, 2008 and November 30, 2015 for each individual. All valid incident live births that

Please see Appendix D for the specifications of parameters used in the analyses for this request.

<u>Limitations</u>: Please note, this report includes information from both claims-based and integrated care delivery Sentinel data partners. The algorithm to define blood transfusions may not have identified all transfusions because they may not have resulted in a code in a claim or entered into the electronic health record system. In general, we expect under-ascertainment of inpatient transfusions at claims based sites (which generally have larger numbers of members), and more complete capture of transfusion information at integrated care delivery sites (which generally have fewer members).

<u>Notes</u>: Please contact the Sentinel Operations Center Query Fulfillment Team (production@mini-sentinel.org) for questions and to provide comments/suggestions for future enhancements to this document.



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## Glossary of Terms for Analyses Using Cohort Identification and Descriptive Analysis (CIDA) Tool\*

Amount Supplied - number of units (pills, tablets, vials) dispensed. Net amount per NDC per dispensing. This is equivalent to the "RxAmt" value in the SCDM.

**Blackout Period** - number of days at the beginning of a treatment episode that events are to be ignored. If an event occurs during the blackout period, the episode is excluded.

**Care Setting** - type of medical encounter or facility where the exposure, event, or condition code was recorded. Possible care settings include: Inpatient Hospital Stay (IP), Non-Acute Institutional Stay (IS), Emergency Department (ED), Ambulatory Visit (AV), and Other Ambulatory Visit (OA). For laboratory results, possible care settings include: Emergency department (E), Home (H), Inpatient (I), Outpatient (O), or Unknown or Missing (U). Along with the Principal Diagnosis Indicator, forms the Care Setting/PDX parameter.

**Principal Diagnosis (PDX)** - diagnosis or condition established to be chiefly responsible for admission of the patient to the hospital. 'P' = principal diagnosis, 'S' = secondary diagnosis, 'X' = unspecified diagnosis, '.' = blank. Along with the Care Setting values, forms the Caresetting/PDX parameter.

**Cohort Definition (drug/exposure)**- indicates how the cohort will be defined: (1) 01: Cohort includes only the first valid incident treatment episode during the query period; (2) 02: Cohort includes all valid incident treatment episodes during the query period; (3) 03: Cohort includes all valid incident treatment episodes during the query period until an event occurs.

**Days Supplied** - number of days supplied for all dispensings in qualifying treatment episodes.

**Episodes** - treatment episodes; length of episode is determined by days supplied in one dispensing or consecutive dispensings bridged by the episode gap.

Years at Risk - number of days supplied plus any episode gaps and exposure extension periods all divided by 365.25.

**Enrollment Gap** - number of days allowed between two consecutive enrollment periods without breaking a "continuously enrolled" sequence.

**Episode Gap** - number of days allowed between two (or more) consecutive exposures (dispensings/procedures) to be considered the same treatment episode.

**Event Deduplication** - specifies how events are counted by the MP algorithm: (0) 0: Counts all occurrences of an HOI during an exposure episode; (1) 1: de-duplicates occurrences of the same HOI code and code type on the same day; (2) 2: de-duplicates occurrences of the same HOI group on the same day (e.g., de-duplicates at the group level).

**Exposure Extension Period** - number of days post treatment period in which the outcomes/events are counted for a treatment episode.

Exposure Episode Length - number of days after exposure initiation that is considered "exposed time."

**Lookback Period (pre-existing condition)** - number of days wherein a member is required to have evidence of pre-existing condition (diagnosis/procedure/drug dispensing).

Minimum Days Supplied - specifies a minimum number of days in length of the days supplied for the episode to be considered.

Minimum Episode Duration - specifies a minimum number of days in length of the episode for it to be considered.

**Query Period** - period in which the modular program looks for exposures and outcomes of interest.

**Treatment Episode Truncation Indicator** - indicates whether observation of the incident query code during follow-up requires truncation of valid treatment episodes. A value of Y indicates that the treatment episodes should be truncated at the first occurrence of an incident query code. A value of N indicates that the treatment episodes should not be truncated at the occurrence of the incident query code.

**Users** - number of members with exposure during the query period. Member must have no evidence of exposure(s) of interest (defined by incidence criteria) in the prior washout period. A user may only be counted once in a query period.

**Washout Period (drug/exposure)**\*\* - number of days a user is required to have no evidence of prior exposure (drug dispensing/procedure) and continuous drug and medical coverage prior to an incident treatment episode.

**Washout Period (event/outcome)**\*\* - number of days a user is required to have no evidence of a prior event (procedure/diagnosis) and continuous drug and medical coverage prior to an incident treatment episode.

\*all terms may not be used in this report

\*\*incident treatment episodes must be incident to both the exposure and the event



Table 1: Summary of number of females (0-99 years), females of child-bearing age (10-54 years), number of blood transfusions (both sexes, 0-99 years) among eligible members (both sexes 0-99 years) in the Sentinel Distributed Database (SDD) between January 1, 2008 and November 30, 2015, overall and by year\*

	Eligible Members (M/F; 0-99 years)	Blood Transfusions (M/F; 0-99 years)	Females only (0-99 years)	Females of child-bearing age (10- 54 years)
Overall	94,918,642	1,278,611	48,157,046	33,666,089
2008	39,868,276	249,319	20,392,302	13,543,156
2009	43,077,877	301,699	22,037,698	14,756,254
2010	41,083,609	298,462	21,056,672	13,894,821
2011	39,859,478	300,548	20,403,335	13,298,891
2012	40,792,877	304,830	20,836,389	13,377,153
2013	40,812,142	291,567	20,809,060	13,281,743
2014	41,478,670	276,994	21,121,775	13,268,000
2015	33,297,459	161,160	16,870,458	10,266,266

\*Individuals included in this table are required to be continuously enrolled in plans with both medical and drug coverage for at least 293 days

Note: The sum of years will not add up to the overall count because individuals can have episodes in multiple years.



 Table 2: Summary of Females of child-bearing age (10-54 years) with and without live births and blood transfusions in the

 Sentinel Distributed Database (SDD) between January 1, 2008 and November 30, 2015, overall and by year\*

		Females with Live	Females with Blood	Females with Live Births AND Blood	Females with Blood Transfusions AND NO
	Females	Births	Transfusions	Transfusions	Live Birth
All	33,666,089	1,946,032	218,562	21,048	197,514
2008	13,543,156	212,865	43,380	2,402	40,978
2009	14,756,254	341,344	55,180	2,971	52,209
2010	13,894,821	321,276	51,740	2,791	48,949
2011	13,298,891	313,615	49,979	2,990	46,989
2012	13,377,153	309,685	47,579	2,943	44,636
2013	13,281,743	315,419	43,174	2,798	40,376
2014	13,268,000	306,161	40,545	3,013	37,532
2015	10,266,266	103,264	22,897	1,390	21,507

\*Individuals included in this table are required to be continuously enrolled in plans with both medical and drug coverage for at least 293 days

Note: The sum of years will not add up to the overall count because individuals can have episodes in multiple years.



Appendix A: Latest Date of Available Data for Each Data Partner up to Request End Date (11/30/2015)

DP ID	End Date
DP0001	12/31/2014
DP0002	6/30/2014
DP0003	4/30/2015
DP0004	3/31/2015
DP0005	10/31/2014
DP0006	10/31/2015
DP0007	2/28/2015
DP0008	9/30/2015
DP0009	9/30/2015
DP0010	7/31/2015
DP0011	6/30/2012
DP0012	7/31/2014
DP0013	11/30/2015
DP0014	11/30/2015
DP0015	4/30/2015



## Appendix B. List of Codes used to define Live Birth in this Request

Description	Code	Code Type
Live Births		
SINGLE NEWBORN	V27.0	ICD-9-CM Diagnosis Code
TWINS, BOTH LIVEBORN	V27.2	ICD-9-CM Diagnosis Code
OTHER MULTIPLE BIRTH, ALL LIVEBORN	V27.5	ICD-9-CM Diagnosis Code
SINGLE LIVEBORN	V30	ICD-9-CM Diagnosis Code
SINGLE NEWBORN, BORN IN HOSPITAL	V30.0	ICD-9-CM Diagnosis Code
SINGLE NEWBORN, BORN IN HOSPITAL, DELIVERED WITHOUT MENTIC	V30.00	ICD-9-CM Diagnosis Code
SINGLE NEWBORN, BORN IN HOSPITAL, CESAREAN	V30.01	ICD-9-CM Diagnosis Code
Single liveborn, born before admission to hospital	V30.1	ICD-9-CM Diagnosis Code
Single liveborn, born outside hospital and not hospitalized	V30.2	ICD-9-CM Diagnosis Code
TWIN BIRTH, MATE LIVEBORN	V31	ICD-9-CM Diagnosis Code
TWIN BIRTH MATE LIVEBORN, BORN IN HOSPITAL	V31.0	ICD-9-CM Diagnosis Cod
TWIN BIRTH MATE LIVEBORN, BORN IN HOSPITAL DELIEVERED WITHC	V31.00	ICD-9-CM Diagnosis Cod
IWIN BIRTH MATE LIVEBORN, BORN IN HOSPITAL DELIVERED BY CESA	V31.01	ICD-9-CM Diagnosis Cod
Twin birth, mate liveborn, born before admission to hospital	V31.1	ICD-9-CM Diagnosis Cod
Twin birth, mate liveborn, born outside hospital and not hospitalized	V31.2	ICD-9-CM Diagnosis Cod
Twin birth unspecified whether mate liveborn or stillborn	V33	ICD-9-CM Diagnosis Cod
Twin birth unspecified whether mate liveborn or stillborn born in hos	V33.0	ICD-9-CM Diagnosis Cod
Twin birth, unspecified whether mate liveborn or stillborn, born in ho	V33.00	ICD-9-CM Diagnosis Cod
TWIN, BORN IN HOSPITAL, CESAREAN	V33.01	ICD-9-CM Diagnosis Cod
Twin birth, unspecified whether mate liveborn or stillborn, born befor	V33.1	ICD-9-CM Diagnosis Cod
Twin birth, unspecified whether mate liveborn or stillborn, before out	V33.2	ICD-9-CM Diagnosis Cod
Other multiple birth (three or more) mates all liveborn	V34	ICD-9-CM Diagnosis Cod
OTHER MULTIPLE, BORN IN HOSPITAL	V34.0	ICD-9-CM Diagnosis Cod
OTHER MULTIPLE, BORN IN HOSPITAL	V34.00	ICD-9-CM Diagnosis Code
OTHER MULTIPLE, BORN IN HOSPITAL, CESAREAN	V34.01	ICD-9-CM Diagnosis Cod
Dther multiple birth (three or more), mates all liveborn, born before ε	V34.1	ICD-9-CM Diagnosis Cod
Other multiple birth (three or more), mates all liveborn, born outside	V34.2	ICD-9-CM Diagnosis Cod
Other multiple birth (three or more), unspecified whether mates livet	V37	ICD-9-CM Diagnosis Cod
OTHER MULTIPLE, BORN IN HOSPITAL	V37.0	ICD-9-CM Diagnosis Cod
OTHER MULTIPLE, BORN IN HOSPITAL	V37.00	ICD-9-CM Diagnosis Cod



## Appendix B. List of Codes used to define Live Birth in this Request

Description	Code	Code Type
Live Births		
OTHER MULTIPLE, BORN IN HOSPITAL, CESAREAN	V37.01	ICD-9-CM Diagnosis Code
Other multiple birth (three or more), unspecified whether mates livet	V37.1	ICD-9-CM Diagnosis Code
Other multiple birth (three or more), unspecified whether mates livet	V37.2	ICD-9-CM Diagnosis Code
Liveborn, unspecified whether single, twin or multiple	V39	ICD-9-CM Diagnosis Code
Liveborn, unspecified whether single, twin or multiple, born in hospita	V39.0	ICD-9-CM Diagnosis Code
Liveborn, unspecified whether single, twin or multiple, born in hospita	V39.00	ICD-9-CM Diagnosis Code
Liveborn, unspecified whether single, twin or multiple, born in hospita	V39.01	ICD-9-CM Diagnosis Code
Liveborn, unspecified whether single, twin or multiple, born before ac	V39.1	ICD-9-CM Diagnosis Code
Liveborn, unspecified whether single, twin or multiple, born outside h	V39.2	ICD-9-CM Diagnosis Code
TWINS, ONE LIVEBORN	V27.3	ICD-9-CM Diagnosis Code
OTHER MULTIPLE BIRTH, SOME LIVEBORN	V27.6	ICD-9-CM Diagnosis Code
Twin birth mate stillborn	V32	ICD-9-CM Diagnosis Code
TWIN, BORN IN HOSPITAL, MATE STILLBORN	V32.0	ICD-9-CM Diagnosis Code
Twin birth mate stillborn born in hospital, delivered without mention	V32.00	ICD-9-CM Diagnosis Code
TWIN, BORN IN HOSPITAL, CESAREAN, MATE STILLBORN	V32.01	ICD-9-CM Diagnosis Code
Twin birth, mate stillborn, born before admission to hospital	V32.1	ICD-9-CM Diagnosis Code
Twin birth, mate stillborn, born outside hospital and not hospitalized	V32.2	ICD-9-CM Diagnosis Code
OTHER MULTIPLE, mates stillborn	V35	ICD-9-CM Diagnosis Code
OTHER MULTIPLE, BORN IN HOSPITAL, mates stillborn	V35.0	ICD-9-CM Diagnosis Code
OTHER MULTIPLE, BORN IN HOSPITAL, mates stillborn	V35.00	ICD-9-CM Diagnosis Code
OTHER MULTIPLE, BORN IN HOSPITAL, CESAREAN, mates stillborn	V35.01	ICD-9-CM Diagnosis Code
Other multiple birth (three or more), mates all stillborn, born before $\mathfrak{a}$	V35.1	ICD-9-CM Diagnosis Code
Other multiple birth (three or more), mates all stillborn, born outside	V35.2	ICD-9-CM Diagnosis Code
Other multiple birth (three or more), mates liveborn and stillborn	V36	ICD-9-CM Diagnosis Code
OTHER MULTIPLE, BORN IN HOSPITAL, mates liveborn and stillborn	V36.0	ICD-9-CM Diagnosis Code
OTHER MULTIPLE, BORN IN HOSPITAL, mates liveborn and stillborn	V36.00	ICD-9-CM Diagnosis Code
OTHER MULTIPLE, BORN IN HOSPITAL, CESAREAN, mates liveborn and	V36.01	ICD-9-CM Diagnosis Code
Other multiple birth (three or more), mates liveborn and stillborn, boi	V36.1	ICD-9-CM Diagnosis Code
Other multiple birth (three or more), mates liveborn and stillborn, boi	V36.2	ICD-9-CM Diagnosis Code



## Appendix B. List of Codes used to define Blood Transfusions in this Request

Description	Code	Code Type
Blood Transfusions		
PERIOPER AUTO TRANSFUS BLD/BLD COMP	9900	ICD-9-CM Procedure Code
EXCHANGE TRANSFUSION	9901	ICD-9-CM Procedure Code
OTHER TRANSFUSION OF WHOLE BLOOD	9903	ICD-9-CM Procedure Code
TRANSFUSION OF PACKED CELLS	9904	ICD-9-CM Procedure Code
TRANSFUSION OF PLATELETS	9905	ICD-9-CM Procedure Code
TRANSFUSION OF COAGULATION FACTORS	9906	ICD-9-CM Procedure Code
TRANSFUSION OF OTHER SERUM	9907	ICD-9-CM Procedure Code
Red Blood Cells		
General Codes	P9021	Healthcare Common Procedure Code
General Codes	P9022	Healthcare Common Procedure Code
General Codes	P9039	Healthcare Common Procedure Code
Irradiated	P9038	Healthcare Common Procedure Code
Leukocytes reduced	C1010	Healthcare Common Procedure Code
Leukocytes reduced	C1016	Healthcare Common Procedure Code
Leukocytes reduced	C1020	Healthcare Common Procedure Code
Leukocytes reduced	P9016	Healthcare Common Procedure Code
Leukocytes reduced	P9051	Healthcare Common Procedure Code
Leukocytes reduced	P9054	Healthcare Common Procedure Code
Leukocytes reduced	P9057	Healthcare Common Procedure Code
Leukoreduced and irradiated	C1021	Healthcare Common Procedure Code
Leukoreduced and irradiated	P9040	Healthcare Common Procedure Code
Leukoreduced and irradiated	P9058	Healthcare Common Procedure Code
Platelets		
General Codes	96965	Current Procedural Terminology
General Codes	C9501	Healthcare Common Procedure Code
General Codes	P9019	Healthcare Common Procedure Code
General Codes	P9020	Healthcare Common Procedure Code
General Codes	P9034	Healthcare Common Procedure Code
Irradiated	C9500	Healthcare Common Procedure Code



## Appendix B. List of Codes used to define Blood Transfusions in this Request

Description	Code	Code Type
Blood Transfusions		
Irradiated	C9502	Healthcare Common Procedure Code
Irradiated	P9032	Healthcare Common Procedure Code
Irradiated	P9036	Healthcare Common Procedure Code
Leukocytes reduced	C1011	Healthcare Common Procedure Cod
Leukocytes reduced	C1013	Healthcare Common Procedure Cod
Leukocytes reduced	C1014	Healthcare Common Procedure Cod
Leukocytes reduced	C1015	Healthcare Common Procedure Cod
Leukocytes reduced	C1017	Healthcare Common Procedure Code
Leukocytes reduced	P9031	Healthcare Common Procedure Code
Leukocytes reduced	P9035	Healthcare Common Procedure Code
Leuko reduced and irradiated	C1012	Healthcare Common Procedure Cod
Leuko reduced and irradiated	C1019	Healthcare Common Procedure Cod
Leuko reduced and irradiated	P9033	Healthcare Common Procedure Cod
Leuko reduced and irradiated	P9037	Healthcare Common Procedure Cod
Therapeutic Erythrocyta-pheresis	9973	ICD-9-CM Procedure Cod
Cryoprecipitated Antihemophilic Factor		
Cryoprecipitated Antihemophilic Factor	P9012	Healthcare Common Procedure Cod
Plasma		Healthcare Common Procedure Cod
General Codes	C1009	Healthcare Common Procedure Cod
General Codes	C1022	Healthcare Common Procedure Cod
General Codes	C9503	Healthcare Common Procedure Cod
General Codes	P9017	Healthcare Common Procedure Cod
General Codes	P9020	Healthcare Common Procedure Cod
General Codes	P9023	Healthcare Common Procedure Cod
General Codes	P9044	Healthcare Common Procedure Cod
General Codes	P9059	Healthcare Common Procedure Cod
General Codes	P9060	Healthcare Common Procedure Cod
PLASMA HUMAN, BLOOD GROUP A		National Drug Cod
PLASMA HUMAN, BLOOD GROUP B		National Drug Cod
PLASMA HUMAN, BLOOD GROUP AB		National Drug Cod



## Appendix B. List of Codes used to define Blood Transfusions in this Request

Description	Code	Code Type
Blood Transfusions		
PLASMA HUMAN, BLOOD GROUP O		National Drug Code
PLASMA HUMAN, BLOOD GROUP A		National Drug Code
PLASMA HUMAN, BLOOD GROUP B		National Drug Code
PLASMA HUMAN, BLOOD GROUP AB		National Drug Code
PLASMA HUMAN, BLOOD GROUP O		National Drug Code
Plasma	9907	ICD-9-CM Procedure Code
Therapeutic Plasmapheresis	9971	ICD-9-CM Procedure Code



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Incident Diagnosis	to:	Washout (days)	Definition**	Setting	Crieteia	Exclude	Start	End
Live Birth	Live Birth	273	02	Any	N/A	N/A	N/A	N/A
Live Dirth	Live Dirth	272	02	<b>A</b> m.(	Dlood Transfusion	Include	202	0
LIVE BILLI	Live Birth	273	02	Any	BIOOU Transfusion	include	-293	0
	Incident Diagnosis	Enrollment Ga Age Group Query Perio Coverage Requiremen Enrollment Requiremen Incident Diagnosis to: Live Birth Live Birth	Enrollment Gap       45 days         Age Groups       00-09, 10-54, 55+         Query Period       January 1, 2008- N         Coverage Requirement       Medical and Drug         Enrollment Requirement       293 days         Incident w/ respect       Incident (days)         Live Birth       Live Birth       273	Enrollment Gap 45 days   Age Groups 00-09, 10-54, 55+   Query Period January 1, 2008- November 30, 24   Coverage Requirement Medical and Drug Coverage   Enrollment Requirement 293 days   Diagnosis Diagnosis   Live Birth Live Birth 273 02	Enrollment Gap45 days Age GroupsVoluent SolutionVoluent SolutionV	Enrollment Gap       45 days Age Groups       00-09, 10-54, 55+ Query Period       January 1, 2008- November 30, 2015         Coverage Requirement       Medical and Drug Coverage Enrollment Requirement       293 days         Diagnosis         Incident w/ respect       Cohort       Care       Inclusion/Exclusion         Incident Diagnosis       to:       Washout (days)       Definition**       Setting       Crieteia         Live Birth       Live Birth       273       02       Any       N/A	Enrollment Gap       45 days Age Groups       O0-09, 10-54, 55+ Query Period       January 1, 2008- November 30, 2015 Coverage Requirement       Diagnosis         Diagnosis       Diagnosis       Diagnosis       Cohort       Care       Inclusion/Exclusion       Include or         Live Birth       Live Birth       273       02       Any       N/A       N/A	Enrollment Gap 45 days Age Groups 00-09, 10-54, 55+ Query Period January 1, 2008- November 30, 2015 Coverage Requirement Medical and Drug Coverage Enrollment Requirement 293 days       Diagnosis         Incident w/ respect       Medical and Drug Coverage Diagnosis       Cohort       Care       Inclusion/Exclusion       Include or       Lookback         Incident Diagnosis       to:       Washout (days)       Definition**       Setting       Crieteia       Exclude       Start         Live Birth       Live Birth       273       02       Any       N/A       N/A       N/A